

Substitute Form PTO-1449 (Modified) U.S. Department of Commerce Patent and Trademark Office

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Applicant Stephen W. Farnsworth, et al.

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**Information Disclosure Statement by Applicant**  
(Use several sheets if necessary)

(37 CFR 1.98(b))

## U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	<del>4,718,052</del>	<del>01/05/1988</del>	<del>Kondo et al.</del>			
	AB	<del>5,886,971</del>	<del>03/23/1999</del>	<del>Feldman et al.</del>			
	AC	<del>5,949,066</del>	<del>09/07/1999</del>	<del>Rice et al.</del>			
	AD	<del>6,262,414</del>	<del>07/17/2001</del>	<del>Mitsubishi</del>			

## Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AE							
	AF							
	AG							
	AH							
	AI							

## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
KL	AJ	Farnsworth et al., "Monolithic Optical Pickup and an Assembly Including the Pickup with Laser Source(s) and Optical Detector(s), U.S. application serial no. 09/569,096, filed May 10, 2000
	AK	
	AL	
	AM	

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Examiner Signature Kim Henke Date Considered 8/16/2004

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

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